#### UNITED STATES DISTRICT COURT DISTRICT OF KANSAS

W. ALLAN SCHWEGMANN, JR., and	)
JORGE ANDREW GUTIERREZ, on	)
Behalf of Themselves and All Others	) Case No. 2:19-cv-02149-CM-TJJ
Similarly Situated,	)
	)
Plaintiffs,	) CLASS ACTION
	)
<b>v.</b>	)
	)
HILL'S PET NUTRITION, INC., and	) JURY TRIAL DEMANDED
HILL'S PET NUTRITION SALES, INC.,	)
	)
Defendants.	)
	)

#### AMENDED CLASS ACTION COMPLAINT

1. Plaintiffs W. Allan Schwegmann, Jr. and Jorge Andrew Gutierrez (together, "Plaintiffs"), on behalf of themselves and all others similarly situated, bring this Amended Class Action Complaint against defendants Hill's Pet Nutrition, Inc. and Hill's Pet Nutrition Sales, Inc. (together, "Hill's" or "Defendants"), for their negligent, reckless, and/or intentional practice of misrepresenting, failing to test for, and failing to fully disclose the presence of both excessive and low levels of Vitamin D in their Contaminated Dog Foods (defined herein) and for selling Contaminated Dog Foods that are adulterated and do not conform to the labels, packaging, advertising, and statements throughout the United States. Plaintiffs seek both injunctive and monetary relief on behalf of the proposed Class, including: (i) requiring full disclosure of all such substances and

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 2 of 69

ingredients in Defendants' marketing, advertising, and labeling; (ii) requiring testing of all ingredients and final products for such substances; (iii) prohibiting the sale of any adulterated dog food in the future; (iv) requiring Defendants to offer Plaintiffs and the proposed class \$500 vouchers for each can of Contaminated Food as they have offered veterinarians; and (iv) restoring monies to the members of the proposed Class. Plaintiffs allege the following based upon personal knowledge as well as investigation by counsel and discovery and as to all other matters, upon information and belief.

#### FACTUAL BACKGROUND

### I. The Adulterated Contaminated Dog Foods Were Improperly Sold and Misleadingly Marketed to Consumers.

2. Defendants manufacture, market, advertise, label, distribute, and sell pet food under the brand names Hill's Prescription Diet ("Prescription Diet") and Hill's Science Diet ("Science Diet") dog foods (collectively, "Contaminated Dog Foods") throughout the United States, including in this District. Hill's describes each brand as follows:

- (a) "Prescription Diet® brand pet foods are formulated to address specific medical conditions that can develop in pets. Prescription Diet foods are available through your pet's veterinarian, or through authorized online retailers. If you have a pet with a medical condition, please speak to your veterinarian to see if a Prescription Diet pet food is right for your dog or cat. Prescription Diet is clinical nutrition to improve quality of life<sup>™</sup>."
- (b) "Science Diet® brand pet foods are formulated to meet the needs of healthy pets during various life stages. Science Diet healthy pet food offers clinically proven benefits that promote vitality and well-being at any age or lifestyle with a full range of precisely balanced products. Science Diet has 100% of what pets need, 0% of what they don't. Science Diet has the finest natural ingredients your pet will love and no artificial

colors, flavors or preservatives to help ensure that your pet lives a happy, healthy life."

3. Defendants have created a niche in the pet food market by marketing foods they claim will "help enrich and lengthen the special relationships between people and their pets." Defendants' website states, "Guided by science, we formulate our food with precise balance so your pet gets all the nutrients they need — and none they don't."

4. The Science Diet foods are allegedly manufactured using "INGREDIENTS CHOSEN FOR TASTE & QUALITY." Each can of Science Diet is described as "Premium Dog Food."

5. With respect to the Prescription Diet foods, Hill's promises, "[O]nly the best ingredients from the most trusted sources are the foundation for all Prescription Diet® foods. We also conduct 5 million quality and safety checks per year at the facility as well as voluntary third-party inspections nearly every month to ensure that we are maintaining the highest standards." Each can of Prescription Diet promises "clinical nutrition."

6. Defendants' website makes the following representations and promises:

# TRUST THE HILL'S STANDARD A proven commitment to quality and safety.

#### Every transformation starts with science

Whether it's conducting industry-leading research, analyzing nutrient levels in each of our products or selecting optimal ingredients for your pet's health, Hill's is driven by science for results you can see — in every little transformation.

7. Defendants make numerous other representations and promises about the

Contaminated Dog Foods' nutrition as well as their supply chain and quality control

measures, including but not limited to the following:

- (a) "Our quality and safety standards are so rigorous, they're modeled after human food manufacturers — so your pet gets a food made with their best interest in mind."
- (b) "With 220+ veterinarians, PhD nutritionists and food scientists, we develop breakthrough innovations for your pet's health."
- (c) "We only accept ingredients from suppliers whose facilities meet stringent quality standards and who are approved by Hill's."
- (d) "Not only is each ingredient examined to ensure its safety, we also analyze each product's ingredient profile for essential nutrients to ensure your pet gets the stringent, precise formulation they need."
- (e) "We conduct annual quality systems audits for all manufacturing facilities to ensure we meet the high standards your pet deserves."
- (f) "We demand compliance with current Good Manufacturing Practices (cGMP) and Hill's high quality standards, so your pet's food is produced under clean and sanitary conditions."
- (g) "We conduct final safety checks daily on every Hill's pet food product to help ensure the safety of your pet's food."
- (h) "Additionally, all finished products are physically inspected and tested for key nutrients prior to release to help ensure your pet gets a consistent product bag to bag."

8. These foods are allegedly formulated for the specific health needs of dogs and are

sold for a premium price because of these claims.

9. However, the Contaminated Dog Foods are not as represented.

## II. Defendants' Products Have a Risk of Both Elevated or Deficient Levels of Vitamin D, Rendering Them Adulterated.

10. Defendants announced a recall on January 31, 2019, which it expanded on February 8, 2019 and March 20, 2019, of certain Contaminated Dog Foods "due to elevated levels of Vitamin D" (the "Recall"). The full list of Contaminated Dog Foods that were recalled include:<sup>1</sup>

(a) Hill's® Prescription Diet® k/d® Kidney Care with Lamb Canned Dog Food, 13oz, 12-pack:





<sup>&</sup>lt;sup>1</sup> Hill's, *Voluntary Canned Dog Food Recall: United States*, https://www.hillspet.com/productlist (last visited May 24, 2019).

(b) Hill's® Science Diet® Adult Perfect Weight Chicken & Vegetable Entrée dog food 12 x 12.8oz cans:





(c) Hill's<sup>®</sup> Prescription Diet<sup>®</sup> c/d<sup>®</sup> Multicare Urinary Care Chicken & Vegetable Stew Canned Dog Food, 5.5oz, 24-pack:



(d) Hill's® Prescription Diet® i/d® Low Fat Canine Rice, Vegetable & Chicken Stew, 24 x 5.5oz cans:



(e) Hill's® Prescription Diet® r/d® Canine, 12 x 12.3oz cans:





(f) Hill's® Science Diet® Adult Beef & Barley Entrée Canned Dog Food, 13oz, 12-pack:





(g) Hill's® Science Diet® Adult 7+ Healthy Cuisine Roasted Chicken, Carrots & Spinach Stew dog food 12 x 12.5oz cans:





(h) Hill's® Science Diet® Healthy Cuisine Adult Braised Beef, Carrots & Peas Stew Canned Dog Food, 12.5oz, 12-pack:





(i) Hill's® Prescription Diet® c/d® Multicare Canine Chicken & Vegetable Stew 12.5oz:





(j) Hill's® Prescription Diet® i/d® Canine Chicken & Vegetable Stew 12.5oz:



(k) Hill's® Prescription Diet® i/d® Canine Chicken & Vegetable Stew 5.5oz:



(l) Hill's<sup>®</sup> Prescription Diet<sup>®</sup> z/d<sup>®</sup> Canine 5.5oz:



(m) Hill's® Prescription Diet® g/d® Canine 13 oz:





(n) Hill's® Prescription Diet® i/d® Canine 13oz:





(o) Hill's® Prescription Diet® j/d® Canine 13oz:





(p) Hill's<sup>®</sup> Prescription Diet<sup>®</sup> k/d<sup>®</sup> Canine 13oz:





(q) Hill's<sup>®</sup> Prescription Diet<sup>®</sup> w/d<sup>®</sup> Canine 13oz:





(r) Hill's® Prescription Diet® z/d® Canine 13oz:



(s) Hill's<sup>®</sup> Prescription Diet<sup>®</sup> Metabolic + Mobility Canine Vegetable & Tuna Stew 12.5oz:





(t) Hill's® Prescription Diet® w/d® Canine Vegetable & Chicken Stew 12.5oz:



(u) Hill's® Prescription Diet® i/d Low Fat Canine Rice, Vegetable & Chicken Stew 12.5oz:



(v) Hill's® Prescription Diet® Derm Defense® Canine Chicken & Vegetable Stew 12.5oz:



(w)Hill's® Science Diet® Adult 7+ Small & Toy Breed Chicken & Barley Entrée Dog Food 5.8oz:



(x) Hill's® Science Diet® Puppy Chicken & Barley Entrée 13oz:





(y) Hill's® Science Diet® Adult Chicken & Barley Entrée Dog Food 13oz:



(z) Hill's® Science Diet® Adult Turkey & Barley Dog Food 13oz:





(aa) Hill's® Science Diet® Adult Chicken & Beef Entrée Dog Food 13oz:





(bb) Hill's® Science Diet® Adult Light with Liver Dog Food 13oz:





(cc) Hill's® Science Diet® Adult 7+ Chicken & Barley Entrée Dog Food 13oz:





(dd) Hill's® Science Diet® Adult 7+ Beef & Barley Entrée Dog Food 13oz:





(ee) Hill's® Science Diet® Adult 7+ Turkey & Barley Entrée 13oz:





(ff) Hill's® Science Diet® Adult 7+ Healthy Cuisine Braised Beef, Carrots & Peas Stew dog food 12.5oz:





(gg)Hill's® Science Diet® Adult 7+ Youthful Vitality Chicken & Vegetable Stew dog food 12.5oz:





11. The recall involves an estimated 675,000 cases of canned food.

12. According to Defendants, "[they] learned of the potential for elevated Vitamin D levels in select canned dog foods after receiving a complaint about a dog exhibiting signs of elevated Vitamin D levels. Our investigation confirmed that a product contained elevated levels of Vitamin D due to a supplier error."<sup>2</sup>

13. Defendants explain the dangers of excessive Vitamin D on their website.<sup>3</sup>

14. The U.S. Food and Drug Administration ("FDA") repeated these warnings when it announced the recall: "While [V]itamin D is an essential nutrient for dogs, ingestion of elevated levels can lead to potential health issues depending on the level of [V]itamin D

 $<sup>^{2}</sup>$  Id.

<sup>&</sup>lt;sup>3</sup> *Id*.

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 23 of 69

and the length of exposure, and dogs may exhibit symptoms such as vomiting, loss of appetite, increased thirst, increased urination, excessive drooling, and weight loss. Vitamin D, when consumed at very high levels, can lead to serious health issues in dogs including renal dysfunction."<sup>4</sup>

15. Similarly, Defendants' Contaminated Dog Foods have a risk of possessing low and deficient levels of Vitamin D, which include but are not limited to Hill's® Prescription Diet®, u/d®, Urinary Care, Chicken Flavor, 13 ounces (a.k.a. Santé Urinaire, Saveur de Poulet; and Cuidado Urinario, Sabor a Pollo):



16. This product type was tested and found to possess the following low and deficient

levels of Vitamin D:

<sup>&</sup>lt;sup>4</sup> U.S. Food & Drug Administration, *Hill's Pet Nutrition Voluntarily Recalls Select Canned Dog Food for Excessive Vitamin D*, https://www.fda.gov/Safety/Recalls/ucm630232.htm (last visited May 24, 2019).

Product		Concentration in	
	ng/gm / ppb	IU/kg	IU/lb
Hill's®			
Prescription	2.7	108.0	49.1
Diet®, u/d®,			
Urinary Care,			
Chicken Flavor			

17. For example, standards established by the Association of American Feed Control Officials ("AAFCO") require dog foods labeled as "complete and balanced" nutrition to contain the following levels of Vitamin D:

Nutrient	5	Growth and Reproduction Minimum	Adult Maintenance Minimum	Maximum
	Du315	willingin	willingun	
Vitamin D	IU/kg	500.0	500.0	3,000

18. Defendants admit to the importance of Vitamin D in a dog's diet on their website.<sup>5</sup>

19. Much like excessive Vitamin D, a lack of Vitamin D in a dog's diet can lead to serious health issues.

20. The presence of both excessive and low Vitamin D at injurious levels renders the Contaminated Dog Foods adulterated under relevant federal and state law.

21. Defendants continue to negligently and improperly sell Hill's® Prescription Diet®, u/d®, Urinary Care, Chicken Flavor and have not included this product as part of the recall.

<sup>&</sup>lt;sup>5</sup> Hill's, *Vitamins, Pet Care Center*, https://www.hillspet.com/pet-care/nutrition-feeding/vitamins (last visited May 24, 2019).

# III. Defendants' Knowingly and/or Recklessly Delayed the Recall for Excessive Vitamin D in Their Products, and Have yet to Issue a Recall for low Levels of Vitamin D.

22. Defendants' recall came over two months after pet food manufacturers Sunshine

Mills, Inc.; Ahold Delhaize; Kroger; King Soopers; ELM Pet Foods, Inc.; ANF, Inc.; Lidl; Natural Life Products; and Nutrisca "found that samples of the dog food contained excessive, potentially toxic amounts of Vitamin D" and announced recalls in November and December 2018.<sup>6</sup> "FDA scientists [] evaluated samples of these products, and State and private lab test results indicate[d] that the food contained as much as approximately 70 times the intended amount of Vitamin D."<sup>7</sup>

23. Defendants failed to act in a timely manner when it learned of the broad scope of

the initial Vitamin D recall in November 2018. They mislead consumers by claiming:

- (a) "Our quality and safety standards are so rigorous, they're modeled after human food manufacturers — so your pet gets a food made with their best interest in mind."
- (b) "We only accept ingredients from suppliers whose facilities meet stringent quality standards and who are approved by Hill's."
- (c) "Not only is each ingredient examined to ensure its safety, we also analyze each product's ingredient profile for essential nutrients to ensure your pet gets the stringent, precise formulation they need."

https://www.fda.gov/animalveterinary/newsevents/ucm627485.htm (last visited May 24, 2019).

<sup>&</sup>lt;sup>6</sup> U.S. Food & Drug Administration, FDA Alerts Pet Owners About Potentially Toxic Levels of Vitamin D in Several Dry Pet Foods,

<sup>&</sup>lt;sup>7</sup> Id.

- (d) "We conduct annual quality systems audits for all manufacturing facilities to ensure we meet the high standards your pet deserves."
- (e) "We demand compliance with current Good Manufacturing Practices (cGMP) and Hill's high quality standards, so your pet's food is produced under clean and sanitary conditions."
- (f) "We conduct final safety checks daily on every Hill's pet food product to help ensure the safety of your pet's food."
- (g) "Additionally, all finished products are physically inspected and tested for key nutrients prior to release to help ensure your pet gets a consistent product bag to bag."

24. Defendants further mislead consumers by promising their "Premium Dog Food"

and "Clinical Nutrition."

25. Defendants were on notice that it had a duty to confirm all its products were not adulterated, including the levels of Vitamin D. This included determining the source Vitamin D was not unequally distributed among lots, runs or products.

26. Defendants have yet to notify the public, through recall or otherwise, of the risk of their Contaminated Dog Foods possessing low and deficient levels of Vitamin D.

27. Plaintiffs bring this action on behalf of themselves and all others similarly situated consumers within California who purchased the Contaminated Dog Foods, in order to cause the disclosure of the presence and/or risk of inclusion of excessive or low levels of Vitamin D or other ingredients in the Contaminated Dog Foods that do not conform to the labels, packaging, advertising, and statements; to correct the false and misleading perception Defendants have created in the minds of consumers that the Contaminated Dog Foods are suitable for consumption by dogs, healthy, nutritious, premium, clinically

approved, subject to strict quality control measures, and/or unadulterated; and to obtain redress for those who have purchased the Contaminated Dog Foods.

#### JURISDICTION AND VENUE

28. This Court has original jurisdiction over all causes of action asserted herein under the Class Action Fairness Act, 28 U.S.C. § 1332(d)(2), because the matter in controversy exceeds the sum or value of \$5,000,000 exclusive of interest and costs and more than twothirds of the Class reside in states other than the states in which Defendants are citizens and in which this case is filed, and therefore any exemptions to jurisdiction under 28 U.S.C. §1332(d) do not apply.

29. Venue is proper in this Court pursuant to 28 U.S.C. § 1391, because many of the acts and transactions giving rise to this action occurred in this District, Defendants' principal place of business and headquarters are in this District, Defendants conduct substantial business in this District, Defendants have intentionally availed themselves of the laws and markets of this District, and Defendants are subject to personal jurisdiction in this District.

#### PARTIES

30. Plaintiff Schwegmann is, and at all times relevant hereto has been, a resident and citizen of the State of California. Plaintiff Schwegmann adopted his dog Steve, a French bulldog, in July 2010. Steve was first prescribed Hill's Prescription Diet® c/d® in September 2012. Plaintiff Schwegmann began purchasing the following Contaminated

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 28 of 69

Dog Foods for Steve in or around October 2014: Hill's® Prescription Diet® c/d® Multicare Canine Chicken & Vegetable Stew. Plaintiff Schwegmann did not learn of the Recall until February 2019 (after the Recall) and therefore purchased several cans of the Contaminated Dog Foods between November 2018 and February 2019 and fed them to Steve. These purchases were made at, among other places, VCA Marina Animal Hospital in Venice, California. Plaintiff Schwegmann has not purchased any Contaminated Dog Foods since he learned of the Recall. Prior to purchasing the Contaminated Dog Foods, Plaintiff Schwegmann saw the nutritional and quality control claims on the packaging and on Defendants' website and heard the representations from Steve's veterinarian, which he relied on when deciding to purchase the Contaminated Dog Foods. During that time, based on the false and misleading claims, representations, advertisements, and other marketing by Defendants, Plaintiff Schwegmann was unaware that the Contaminated Dog Foods contained and/or had a risk of containing elevated levels of Vitamin D that do not conform to the labels, packaging, advertising, and statements and would not have purchased the food if that was fully disclosed. Plaintiff Schwegmann was injured by paying a premium for the Contaminated Dog Foods that have no or *de minimis* value based on the elevated levels of Vitamin D that do not conform to the labels, packaging, advertising, and statements.

31. As a result of Defendants' negligent, reckless, and/or knowingly deceptive conduct as alleged herein, Plaintiff Schwegmann was injured when he paid the purchase

28

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 29 of 69

price or a price premium for the Contaminated Dog Foods that did not deliver what was promised. Plaintiff Schwegmann paid the premium price on the assumption that the labeling of the Contaminated Dog Foods was accurate and that it was suitable for consumption by dogs, healthy, nutritious, premium, clinically approved, subject to strict quality control measures, and/or unadulterated. Plaintiff Schwegmann would not have paid this money had he known that the Contaminated Dog Foods contained and/or had risk of containing elevated or low levels of Vitamin D or other ingredients that do not conform to the labels, packaging, advertising, and statements. Plaintiff Schwegmann was further injured because the Contaminated Dog Foods have no or *de minimis* value based on the elevated or low levels of Vitamin D or other ingredients that do not conform to the labels, packaging, advertising, and statements. Damages can be calculated through expert testimony at trial. Further, should Plaintiff Schwegmann encounter the Contaminated Dog Foods in the future, he could not rely on the truthfulness of the packaging, absent corrective changes to the packaging and advertising of the Contaminated Dog Foods.

32. Plaintiff Gutierrez is, and at all times relevant hereto has been, a resident and citizen of the State of California. Plaintiff Gutierrez adopted his dog Spanky, a pug, in 2008. Spanky was first prescribed Hill's Prescription Diet® u/d® in June of 2013, after suffering from bladder stones. Plaintiff Gutierrez began purchasing the following Contaminated Dog Foods for Spanky in or around June of 2013: Hill's® Prescription

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 30 of 69

Diet®, u/d®, Urinary Care, Chicken Flavor (a.k.a. Santé Urinaire, Saveur de Poulet; and Cuidado Urinario, Sabor a Pollo). Plaintiff Gutierrez purchased the Contaminated Dog Foods between June of 2013 and July of 2018 and fed them to Spanky. These purchases were made exclusively through Spanky's veterinarian, in Riverside, California. Spanky passed away on July 8, 2018, after suffering symptoms associated with Vitamin D toxicity, such as shortness of breath, panting, difficulty standing up, vomiting, and multi-organ failure. Plaintiff Gutierrez learned of the Recall on February 15, 2019. Concerned the Contaminated Dog Foods he fed Spanky also contained elevated levels of Vitamin D, Plaintiff Gutierrez had some of Spanky's leftover dog food tested to determine the level of Vitamin D contained therein. To Plaintiff Gutierrez's surprise, the Contaminated Dog Foods actually contained low and deficient levels of Vitamin D. Prior to purchasing the Contaminated Dog Foods, Plaintiff Gutierrez saw the nutritional and quality control claims on the packaging and on Defendants' website and heard the representations from Spanky's veterinarian, which he relied on when deciding to purchase the Contaminated Dog Foods. During that time, based on the false and misleading claims, representations, advertisements, and other marketing by Defendants, Plaintiff Gutierrez was unaware that the Contaminated Dog Foods contained and/or had a risk of containing elevated or low levels of Vitamin D that do not conform to the labels, packaging, advertising, and statements and would not have purchased the food if that was fully disclosed. Plaintiff Gutierrez was injured by paying a premium for the Contaminated Dog Foods that have

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 31 of 69

no or *de minimis* value based on the elevated or low levels of Vitamin D that do not conform to the labels, packaging, advertising, and statements.

33. As a result of Defendants' negligent, reckless, and/or knowingly deceptive conduct as alleged herein, Plaintiff Gutierrez was injured when he paid the purchase price or a price premium for the Contaminated Dog Foods that did not deliver what was promised. Plaintiff Gutierrez paid the premium price on the assumption that the labeling of the Contaminated Dog Foods was accurate and that it was suitable for consumption by dogs, healthy, nutritious, premium, clinically approved, subject to strict quality control measures, and/or unadulterated. Plaintiff Gutierrez would not have paid this money had he known that the Contaminated Dog Foods contained and/or had risk of containing elevated or low levels of Vitamin D or other ingredients that do not conform to the labels, packaging, advertising, and statements. Plaintiff Gutierrez was further injured because the Contaminated Dog Foods have no or *de minimis* value based on the elevated or low levels of Vitamin D or other ingredients that do not conform to the labels, packaging, advertising, and statements. Damages can be calculated through expert testimony at trial. Further, should Plaintiff Gutierrez encounter the Contaminated Dog Foods in the future, he could not rely on the truthfulness of the packaging, absent corrective changes to the packaging and advertising of the Contaminated Dog Foods.

34. Defendant Hill's Pet Nutrition, Inc. is a Delaware corporation, with its principal place of business in Kansas. Hill's is located at 400 SW 8th Avenue, Topeka, Kansas 66603.

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 32 of 69

35. Defendant Hill's Pet Nutrition Sales, Inc. is a Delaware corporation, with its principal place of business in Topeka, Kansas.

#### **COMMON FACTUAL ALLEGATIONS**

#### I. Defendants Marketed the Contaminated Dog Foods to Consumers with Specific Promises of Providing Medical and/or Health Solutions to Pets, yet Failed to Disclose the Foods Were Adulterated.

36. Defendants formulate, develop, manufacture, label, package, distribute, market, advertise, and sell their Contaminated Dog Foods across the United States, including at veterinary clinics and pet retailers, as well as through online retailers like Amazon and Chewy. No matter where consumers purchase the Contaminated Dog Foods, they are packaged in sealed containers with the same labeling and packaging that is displayed on the Defendants' website.<sup>8</sup>

37. The adulterated Contaminated Dog Foods are sold either only with prescription from a veterinarian or with the promotion of "veterinarian recommended." Moreover, these foods contain marketing representations that each is formulated for specific issues or breeds, including weight management and digestive or kidney issues. Defendants also make a number of representations about the superiority of their quality control and manufacturing processes.

<sup>&</sup>lt;sup>8</sup> See, e.g., Hill's, Choosing the Best Dog Food for Your Pet, https://www.hillspet.com/dog-food (last visited May 24, 2019).

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 33 of 69

38. Defendants' website touts the Contaminated Dog Foods' nutritional qualities, claiming that the products "[s]upport[] a healthy immune system,"<sup>9</sup> "improve and lengthen quality of life,"<sup>10</sup> "can be used long-term,"<sup>11</sup> "[p]rotect[] vital kidney & heart function,"<sup>12</sup> "[s]upport your dog's natural ability to build lean muscle daily,"<sup>13</sup> and "meet[] the special nutritional needs of puppies and adult dogs."<sup>14</sup>

39. Defendants also issue a "100% Satisfaction" money-back guarantee with every Contaminated Dog Food purchase. Yet, Defendants negligently, recklessly, and/or knowingly omitted that the Contaminated Dog Foods were adulterated based on the excessive levels of Vitamin D and should not be sold for consumption by pets.

40. Based on Defendants' representations, warranties, labels, various affirmations of fact about the quality of their manufacturing processes and their Science and Prescription Diets, consumers across the country paid a premium for the Contaminated Dog Foods.

<sup>13</sup> *Id*.

<sup>&</sup>lt;sup>9</sup> See, e.g., https://www.hillspet.com/dog-food/pd-id-canine-canned# (last visited Feb.11, 2019).

<sup>&</sup>lt;sup>10</sup> See, e.g., https://www.hillspet.com/dog-food/pd-kd-canine-canned (last visited Feb. 11, 2019).

<sup>&</sup>lt;sup>11</sup> See, e.g., https://www.hillspet.com/dog-food/pd-id-sensitive-canine-dry# (last visited Feb. 11, 2019).

<sup>&</sup>lt;sup>12</sup> See, e.g., https://www.hillspet.com/dog-food/pd-kd-canine-canned# (last visited Feb. 11, 2019).

<sup>&</sup>lt;sup>14</sup> See, e.g., https://www.hillspet.com/dog-food/pd-id-canine-chicken-and-vegetable-stew-canned (last visited Feb. 11, 2019).

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 34 of 69

41. The Contaminated Dog Foods that consumers across the country have fed to their pets have proven to be toxic, causing symptoms of renal failures such as dehydration, diarrhea, loss of appetite, increased thirst, lethargy, vomiting, and often death.

42. In the end, Defendants chose to advertise, label, and market its products, including the Contaminated Dog Foods, as pure, high quality, healthy and safe for dogs to ingest without disclosing that the Contaminated Dog Foods were adulterated and also delayed a recall that was required to ensure the safety of pets.

#### **II.** Dangers of High and low Levels of Vitamin D.

43. Vitamin D is an essential nutrient for dogs. It is added to pet foods during the manufacturing process using a supplement. This is usually purchased by pet food manufacturers from suppliers as part of a "pre-mix" of vitamins and minerals and then added to the pet food "mixer."

44. Defendants' website explains, "Unlike people (who can synthesize Vitamin D), dogs rely solely on dietary sources for Vitamin D. Therefore, pet food is often enriched with Vitamin D<sup>15</sup>."

45. However, Vitamin D can cause mild to serious health problems, including renal failure, when it exceeds certain levels. Symptoms of excessive Vitamin D consumption

<sup>&</sup>lt;sup>15</sup> Hill's, Voluntary Product Recall Frequently Asked Questions, https://www.hillspet.com/productlist/faq#vitamin-d-symptoms (last visited Feb. 15, 2019).

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 35 of 69

include vomiting, loss of appetite, increased thirst, increased urination, excessive drooling, and weight loss.

46. Likewise, low and deficient levels of Vitamin D can cause serious illness to dogs, and Vitamin D must be a part of a dog's diet in order for it to maintain optimal health. Insufficient Vitamin D in a dog's diet can lead to congestive heart failure. Further, if a dog does not receive enough Vitamin D in its diet, it has an increased risk of complications due to heart disease and may suffer from bone disorders such as osteomalacia and rickets. New research also shows that low levels of Vitamin D are linked to an increased risk of cancer.

47. Pet food possessing both excessive and low levels of Vitamin D that is injurious (such as here) is adulterated food under various relevant state and federal regulations.

48. Specifically, under the FDCA, a food is adulterated if it "bears or contains any poisonous or deleterious substance which may render it injurious to health." 21 U.S.C. § 342. Further, a food is adulterated if "any valuable constituent has been in whole or in part omitted or abstracted therefrom" or "damage or inferiority has been concealed in any manner." *Id*.

49. Under California law, pet food is considered adulterated if "it bears or contains any poisonous or deleterious substance that may render it injurious to health," "If it contains a pet food ingredient for which a standard of identity has been established and the pet food ingredient fails to meet that standard;" "If any valuable constituent has been

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 36 of 69

in whole or in part omitted or abstracted therefrom" or "if damage or inferiority has been concealed in any manner." Cal. Health & Safety Code § 113090(a), (c), (f), (h). Additionally, any food is adulterated if "any valuable constituent has been in whole or in part omitted or abstracted therefrom" or "damage or inferiority has been concealed in any manner" Cal. Health & Safety Code § 110585(a) & (c). And the sale of adulterated pet food is prohibited in California, as well as disseminating any false advertising in connection with the sale of pet food. Cal. Health & Safety Code § 113075.

50. Other relevant states likewise prohibit the sale of adulterated pet food. Ohio Rev. Code Ann. § 923.41, *et seq.*; Ala. Code § 2-21-23; Fla. Stat. § 500.10; Ga. Code Ann. § 2-13-11; 505 Ill. Comp. Stat. Ann. 30/11.1; N.Y. Agric. & Mkts. Law § 199-A; Tex. Agric. Code Ann. § 141.002, *et seq*.

51. Pet food recalls due to excessive Vitamin D began on November 2, 2018, with a recall from Natural Life Pet Products and Nutrisca dry dog foods. Recalls by several other manufacturers followed, culminating with Defendants' recalls on January 31, 2019, and expansions of the recall February 8, 2019 and March 20, 2019.

52. Natural Life Pet Products, the first manufacturer to initiate a recall, identified a "formulation error" as the root of the problem. Defendants have only stated, "Our investigation confirmed elevated levels of Vitamin D due to a supplier error." Neither the manufacturers nor the FDA have disclosed where in the manufacturing process these errors occurred.
53. Defendants have yet to notify the public, through recall or otherwise, of the risk of the Contaminated Dog Foods possessing low levels of Vitamin D, and the dangers posed dogs who consume their deficient products. Defendants also have yet to confirm that the source of Vitamin D utilized in its products is now properly regulated to ensure no product has excessive or deficient levels of Vitamin D during Defendants' manufacturing process.

# III. The Pet Food Industry, Including Defendants, Knew that the Average Consumer Cares and Considers What They Are Feeding Their Pets.

54. Consumers are becoming increasingly concerned with what they feed their pets. In addition, Defendants have chosen to market specifically to consumers that are trying to address medical and/or health issues with their pets.

55. The pet food industry has been reporting on the humanization of both pets and pet food for years.

56. A recent survey done by a pet food giant showed that "95 percent [of pet owners] agreed they saw their canine as part of the family." And 73 percent of them responded they would make sure their "pet gets food before they do."<sup>16</sup>

<sup>&</sup>lt;sup>16</sup> People, *Study Shows Half of Women Would Rather Spend Friday Night with Their Dog than their Partner*, https://people.com/pets/study-women-prefer-dogs-to-partner/ (last visited May 24, 2019).

## Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 38 of 69

57. This is nothing new; a 2017 survey reported the same results: "In the US, 95% of pet owners consider their pets to be part of the family—up 7 points from 2007, according to a survey by Harris Poll."<sup>17</sup>

58. Indeed, based on this, it was reported that "there isn't much people won't do for their pets, and this sentiment has only strengthened over the past few years, especially for pet food. Pet food accounts for 76% of the pet care category, representing a significant opportunity for pet companies."<sup>18</sup>

59. And, pet owners want "pet food options that address the same health concerns currently influencing human food production, such as unnatural preservatives and genetically modified ingredients—and they're serious about these preferences."<sup>19</sup>

Treating pets like one of the family continues to be a popular trend among pet owners; however, today, their purchases are more and more functionally driven as health becomes a top priority.

60. Defendants' marketing uses this shift in paradigm of pet owners of humanization

of pet food and viewing pets as family, stating "We believe daily nutrition is the single

<sup>&</sup>lt;sup>17</sup> Petfood Industry.com, *Report:* 95% Say Pets are Part of the Family, https://www.petfoodindustry.com/articles/5695-report---say-pets-are-part-of-the family/ (last visited May 24, 2019).

<sup>&</sup>lt;sup>18</sup> Id.

<sup>&</sup>lt;sup>19</sup> http://www.nielsen.com/us/en/insights/reports/2016/the-humanization-of-petfood.html.

## Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 39 of 69

most important thing you can do to enrich and lengthen the special relationship you have with your pet."<sup>20</sup>

61. Thus, consumers are willing to pay a premium for their pet food if their pet food is of superior quality, as Defendants advertised. This is especially true of consumers who are purchasing prescription foods for their pets.

## **IV.** Plaintiffs' Reliance Was Reasonable and Foreseen by Defendants.

62. Plaintiffs reasonably relied on Defendants' own claims, warranties, representations, advertisements, and other marketing concerning the particular qualities and benefits of the Contaminated Dog Foods.

63. Plaintiffs also relied upon Defendants' false and/or misleading representations alleged herein, including the websites and/or the Contaminated Dog Foods' labels and packaging in making their purchasing decisions.

64. Any reasonable consumer would consider the labeling of a product (as well as the other false and/or misleading representations alleged herein) when deciding whether to purchase. Here, Plaintiffs relied on the certainty of the various specific statements and misrepresentations by Defendants that (1) the Contaminated Dog Foods were suitable for consumption by dogs; (2) healthy; (3) nutritious; (4) premium; (5) clinically approved; (6) subject to strict quality control measures; (7) and/or unadulterated with no disclosure of

<sup>&</sup>lt;sup>20</sup> Hill's, https://www.hillspet.com/ (last visited May 24, 2019).

## Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 40 of 69

the inclusion of excess or low levels of Vitamin D or other ingredients that do not conform to the labels, packaging, advertising, and statements.

## V. Defendants' Knowledge of Excessive and low Vitamin D Levels.

65. Defendants' Recall of the Contaminated Dog Foods was not an isolated incident. It came approximately three months after a widespread recall of other pet foods containing excess Vitamin D.

66. When the first Vitamin D recall occurred on November 3, 2018, the FDA began to test products and concluded that a wide swath of dog foods sold in the United States contained potentially lethal doses of Vitamin D sometimes as much as 70 times more than the recommended dosage.

67. On December 3, 2018, the FDA issued a press release warning pet owners about potentially toxic levels of Vitamin D in several brands of pet food and noting that it was working with a common contract manufacturer of pet food to provide a comprehensive list of affected brands.

68. Despite the FDA's public warnings, Defendants continued to manufacture, sell, and warrant its Contaminated Dog Foods, to the detriment of consumers and their pets alike instead of properly investigating and testing the Contaminated Dog Foods.

69. On January 31, 2019, Hill's announced an initial recall of canned Prescription Diet and Science Diet products. Hill's issued a press release detailing the risk of excessive Vitamin D consumption and identifying affected products.<sup>14</sup>

## Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 41 of 69

70. Even though a video message included with the January 31, 2019 recall represented that the SKU and lot numbers identified in the January 31, 2019 recall were "confirmed to be the only affected products in this voluntary canned dog food recall[]." on February 8, 2019, Hill's announced an expansion of the recall to include additional SKU and lot numbers of canned Prescription Diet and Science diet products. Again, on March 20, 2019, Hill's announced another expansion of the recall to include eight additional products.<sup>21</sup>

71. At the same time, Defendants have, and had, exclusive knowledge of the physical and chemical makeup of the Contaminated Dog Foods. Defendants also had exclusive knowledge of their suppliers, including where the ingredients are sourced, how the ingredients arrive at their manufacturing facilities, and the quality and content of the received ingredients. Defendants have publicly described their quality control procedure as follows:

- (a) "We only accept ingredients from suppliers whose facilities meet stringent quality standards and who are approved by Hill's."
- (b) "Not only is each ingredient examined to ensure its safety, we also analyze each product's ingredient profile for essential nutrients to ensure your pet gets the stringent, precise formulation they need."
- (c) "We conduct annual quality systems audits for all manufacturing facilities to ensure we meet the high standards your pet deserves."

<sup>&</sup>lt;sup>21</sup> Hill's, https://www.hillspet.com/productlist/ (last visited May 24, 2019).

- (d) "We demand compliance with current Good Manufacturing Practices (cGMP) and Hill's high quality standards, so your pet's food is produced under clean and sanitary conditions."
- (e) "We conduct final safety checks daily on every Hill's pet food product to help ensure the safety of your pet's food."
- (f) "Additionally, all finished products are physically inspected and tested for key nutrients prior to release to help ensure your pet gets a consistent product bag to bag."

72. Defendants therefore had knowledge of the potential risk and inclusion of excessive Vitamin D in their Contaminated Dog Foods. Defendants received notice of potential Vitamin D contamination through consumer complaints. It also knew or should have known about the recall announcements by the FDA and other pet food manufacturers.

73. Defendants also admit that the damages arising from the sale of the Contaminated Dog Foods far exceed the cost of purchasing the same. They have offered veterinary clinics \$500 vouchers for each can of Contaminated Food purchased to compensate them for the damages caused by the recall.

74. Further, Defendants knew or should have known that the Contaminated Dog Foods have risk of containing low and deficient levels of Vitamin D. Defendants explained that vitamin premix received from a U.S. supplier was the source of the excessive levels of Vitamin D. Thus, Defendants knew or should have known that this same supplier issue could lead to the Contaminated Dog Foods also possessing low and deficient levels of Vitamin D or that the manufacturing process utilized by Defendants

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 43 of 69

provided insufficient means to ensure that no product has excessive or deficient levels of Vitamin D.

75. Additionally, by at least May 13, 2019, Defendants were put on notice of the low and deficient levels of Vitamin D contained in their Contaminated Dog Food, when counsel for Plaintiff Gutierrez sent Defendants and their counsel a letter notifying Defendants of the risk of their Contaminated Dog Foods possessing low Vitamin D levels and their resulting violation of California's Consumers Legal Remedies Act (the "CLRA").

76. Defendant has yet to notify the public, through recall or otherwise, of the risk of their production containing low and deficient levels of Vitamin D, and the harm it may cause to pets who consume their products.

## VI. Privity Exists with Plaintiffs and the Proposed Class.

77. Defendants knew that consumers such as Plaintiffs and the proposed Class would be the end purchasers of the Contaminated Dog Foods and the target of their advertising and statements.

78. Defendants intended that the advertising, labeling, statements, and representations would be considered by the end purchasers of the Contaminated Dog Foods, including Plaintiffs and the proposed Class.

79. Defendants directly marketed to Plaintiffs and the proposed Class through statements on their website, labeling, advertising, and packaging.

80. Plaintiffs are the intended third-party beneficiaries of the contracts between Defendants and veterinarians and/or other third-parties.

## **CLASS ACTION ALLEGATIONS**

81. Plaintiffs bring this action individually and on behalf of the following Class pursuant to Rules 23(a) and 23(b)(2) and (3) of the Federal Rules of Civil Procedure:

All persons who reside in the State of California who purchased the Contaminated Dog Foods through the present in the State of California for household or business use, and not for resale (the "Class").

82. Excluded from the Class are the Defendants, any parent companies, subsidiaries, and/or affiliates, officers, directors, legal representatives, employees, co-conspirators, all governmental entities, and any judge, justice, or judicial officer presiding over this matter.

83. This action is brought and may be properly maintained as a class action. There is a well-defined community of interests in this litigation and the members of the Class are easily ascertainable.

84. The members in the proposed Class are so numerous that individual joinder of all members is impracticable, and the disposition of the claims of the Class members in a single action will provide substantial benefits to the parties and Court.

85. Questions of law and fact common to Plaintiffs and the Class include, but are not limited to, the following:

(a) whether Defendants owed a duty of care to Plaintiffs and the Class;

- (b) whether the Contaminated Dog Foods that contained harmful and excess levels of Vitamin D are adulterated;
- (c) whether Defendants knew or should have known that the Contaminated Dog Foods contained excess levels of Vitamin D or other ingredients that do not conform to the labels, packaging, advertising and statements;
- (d) whether Defendants failed to test for the presence of excess Vitamin D or other ingredients that do not conform to the labels, packaging, advertising, and statements;
- (e) whether the Contaminated Dog Foods that contained harmful and low levels of Vitamin D are adulterated;
- (f) whether Defendants knew or should have known that the Contaminated Dog Foods contained low levels of Vitamin D or other ingredients that do not conform to the labels, packaging, advertising and statements;
- (g) whether Defendants failed to test for the presence of low levels of Vitamin D or other ingredients that do not conform to the labels, packaging, advertising, and statements;
- (h) whether Defendants manufacturing process failed to include sufficient quality control procedures to ensure the Vitamin D was equally distributed in all products;
- (i) whether Defendants wrongfully represented and continue to represent that the Contaminated Dog Foods are suitable for consumption by dogs, healthy, nutritious, premium, clinically approved, subject to strict quality control measures, and/or unadulterated;
- (j) whether Defendants wrongfully represented and continue to represent that the manufacturing of the Contaminated Dog Foods is subjected to rigorous standards, including temperature;
- (k) whether Defendants wrongfully failed to state that the Contaminated Dog Foods contained (or had a risk or probability of containing) excess levels of Vitamin D and/or unnatural or other ingredients that do not conform to the labels, packaging, advertising, and statements;

- whether Defendants wrongfully failed to state that the Contaminated Dog Foods contained (or had a risk or probability of containing) low levels of Vitamin D and/or unnatural or other ingredients that do not conform to the labels, packaging, advertising, and statements;
- (m) whether Defendants' representations in advertising, statements packaging, and/or labeling are false, deceptive, and misleading;
- (n) whether those representations are likely to deceive a reasonable consumer;
- (o) whether a reasonable consumer would consider the excessive VitaminD or other ingredients that do not conform to the labels, packaging, advertising, and statements as a material fact in purchasing pet food;
- (p) whether a reasonable consumer would consider the low levels of Vitamin D or other ingredients that do not conform to the labels, packaging, advertising, and statements as a material fact in purchasing pet food;
- (q) whether Defendants had knowledge that their representations were false, deceptive, and misleading;
- (r) whether Defendants continue to disseminate those representations despite knowledge that the representations are false, deceptive, and misleading;
- (s) whether a representation that a product is suitable for consumption by dogs, healthy, nutritious, premium, clinically approved, subject to strict quality control measures, and/or unadulterated is material to a reasonable consumer;
- (t) whether Defendants' representations and descriptions on the labeling of the Contaminated Dog Foods are likely to mislead, deceive, confuse, or confound consumers acting reasonably;
- (u) whether Defendants violated California state laws;
- (v) whether Defendants engaged in unfair trade practices;
- (w)whether Defendants' conduct was negligent;
- (x) whether Defendants' conduct was fraudulent;

- (y) whether Defendants made negligent and/or fraudulent misrepresentations and/or omissions;
- (z) whether Plaintiffs and the members of the Class are entitled to actual, statutory, and punitive damages; and
- (aa) whether Plaintiffs and members of the Class are entitled to declaratory and injunctive relief.

86. Defendants engaged in a common course of conduct giving rise to the legal rights sought to be enforced by Plaintiffs individually and on behalf of the other members of the Class. Identical statutory violations and business practices and harms are involved. Individual questions, if any, are not prevalent in comparison to the numerous common questions that dominate this action.

87. Plaintiffs' claims are typical of those of the members of the Class in that they are based on the same underlying facts, events, and circumstances relating to Defendants' conduct.

88. Plaintiffs will fairly and adequately represent and protect the interests of the Class, have no interests incompatible with the interests of the Class, and have retained counsel competent and experienced in class action, consumer protection, and false advertising litigation.

89. Class treatment is superior to other options for resolution of the controversy because the relief sought for each member of the Class is small such that, absent representative litigation, it would be infeasible for members of the Class to redress the wrongs done to them. 90. Questions of law and fact common to the Class predominate over any questions affecting only individual members of the Class.

91. As a result of the foregoing, class treatment is appropriate.

## **CAUSES OF ACTION**

## **COUNT I - Breach of Express Warranty**

92. Plaintiffs incorporate by reference and realleges each and every allegation contained above, as though fully set forth herein.

93. Defendants marketed and sold their Contaminated Dog Foods into the stream of commerce with the intent that the Contaminated Dog Foods would be purchased by Plaintiffs and the Class.

94. Defendants expressly warranted, advertised, and represented to Plaintiffs and the

Class that their Contaminated Dog Foods are:

- (a) Are "Premium Dog Food" that is suitable for consumption by dogs;
- (b) Provide "Clinical Nutrition;"
- (c) Are Manufactured using "rigorous" quality and safety standards;
- (d) Are routinely subjected to numerous quality and safety checks, inspections, and audits throughout the manufacturing process;
- (e) Contain "only the best ingredients from the most trusted sources;"
- (f) Will "help enrich and lengthen the special relationships between people and their pets;" and
- (g) Are unadulterated as they are available to purchase for the consumption of pets.

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 49 of 69

95. Defendants made these express warranties regarding the Contaminated Dog Foods' quality, ingredients, and fitness for consumption in writing through their websites, advertisements, and marketing materials and on the Contaminated Dog Foods' packaging and labels. These express warranties became part of the basis of the bargain that Plaintiffs and the Class entered into upon purchasing the Contaminated Dog Foods.

96. Defendants' advertisements, warranties, and representations were made in connection with the sale of the Contaminated Dog Foods to Plaintiffs and the Class. Plaintiffs and the Class relied on Defendants' advertisements, warranties, and representations regarding the Contaminated Dog Foods in deciding whether to purchase Defendants' products.

97. Defendants' Contaminated Dog Foods do not conform to Defendants' advertisements, warranties, and representations in that they:

- (a) Were adulterated and not sold as such;
- (b) Are neither premium nor suitable for consumption by dogs;
- (c) Do not provide "Clinical Nutrition;"
- (d) Were not Manufactured using "rigorous" quality and safety standards;
- (e) Were not subjected to adequate quality and safety checks, inspections, and audits throughout the manufacturing process;
- (f) Contained ingredients, including supplements, procured from suppliers known to sell adulterated ingredients; and
- (g) Harmed and shortened rather than "enrich[ed] and lengthen[ed] the special relationships between people and their pets."

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 50 of 69

98. Defendants were on notice of this breach as they were aware of the excessive and low Vitamin D levels that rendered their Contaminated Dog Foods unhealthy.

99. Privity exists because Defendants expressly warranted to Plaintiffs and the Class that the Contaminated Dog Foods were suitable for consumption by dogs, healthy, nutritious, premium, clinically approved, subject to strict quality control measures, and/or unadulterated.

100. As a direct and proximate result of Defendants' conduct, Plaintiffs and the Class have suffered actual damages in that they purchased Contaminated Dog Foods that were worth less than the price they paid and that they would not have purchased at all had they known of the risk and/or presence of excessive or low Vitamin D levels or other ingredients that do not conform to the products' labels, packaging, advertising, and statements.

101. Plaintiffs and the Class seek actual damages, injunctive and declaratory relief, attorneys' fees, costs, and any other just and proper relief available thereunder for Defendants' failure to deliver goods conforming to their express warranties and resulting breach.

## COUNT II - Breach of Implied Warranty of Merchantability

102. Plaintiffs incorporate by reference and reallege each and every allegation contained above, as though fully set forth herein.

## Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 51 of 69

103. Defendants are merchants engaging in the sale of goods to Plaintiffs and the Class.

104. There was a sale of goods from Defendants to Plaintiffs and Class members.

105. At all times mentioned herein, Defendants manufactured or supplied the Contaminated Dog Foods, and prior to the time the Contaminated Dog Foods were purchased by Plaintiffs and the Class, Defendants impliedly warranted to them that the Contaminated Dog Foods were of merchantable quality, fit for their ordinary use (consumption by dogs), and conformed to the promises and affirmations of fact made on the Contaminated Dog Foods' containers and labels, including that the Contaminated Dog Foods:

- (a) Are "Premium Dog Food" that is suitable for consumption by dogs;
- (b) Provide "Clinical Nutrition;"
- (c) Are Manufactured using "rigorous" quality and safety standards;
- (d) Are routinely subjected to numerous quality and safety checks, inspections, and audits throughout the manufacturing process;
- (e) Contain "only the best ingredients from the most trusted sources;"
- (f) Will "help enrich and lengthen the special relationships between people and their pets;" and
- (g) Are unadulterated as they are available to purchase for the consumption of pets.
- 106. Plaintiffs and the Class relied on Defendants' promises and affirmations of fact

when they purchased the Contaminated Dog Foods.

## Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 52 of 69

107. The Contaminated Dog Foods were not fit for their ordinary use, consumption by dogs, and did not conform to Defendants' affirmations of fact and promises as they contained excessive or low Vitamin D levels or other ingredients that do not conform to the products' labels, packaging, advertising, and statements at levels material to a reasonable consumer.

108. Defendants breached the implied warranties by selling the Contaminated Dog Foods that failed to conform to the promises or affirmations of fact made on the container or label as each product contained excessive or low Vitamin D levels or other ingredients that do not conform to the products' labels, packaging, advertising, and statements.

109. Defendants were on notice of this breach as they were aware of the excessive or low Vitamin D levels included in the Contaminated Dog Foods and/or the risk of excessive or low Vitamin D levels, based on the recalls of other pet foods.

110. Privity exists because Defendants impliedly warranted to Plaintiffs and the Class through the warranting, packaging, advertising, marketing, and labeling that the Contaminated Dog Foods were suitable for consumption by dogs, healthy, nutritious, premium, clinically approved, subject to strict quality control measures, and/or unadulterated and by failing to make any mention of excessive or low Vitamin D levels or other ingredients that do not conform to the products' labels, packaging, advertising, and statements.

## Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 53 of 69

111. As a direct and proximate result of Defendants' conduct, Plaintiffs and the Class have suffered actual damages in that they purchased Contaminated Dog Foods that were worth less than the price they paid and that they would have not have purchased at all had they known of the risk and/or presence of excessive or low Vitamin D levels or other ingredients that do not conform to the products' labels, packaging, advertising, and statements.

112. Plaintiffs and the Class seek actual damages, injunctive and declaratory relief, attorneys' fees, costs, and any other just and proper relief available thereunder for Defendants' failure to deliver goods conforming to their implied warranties and resulting breach.

## **COUNT III - Fraudulent Misrepresentation**

113. Plaintiffs incorporate by reference and reallege each and every allegation contained above, as though fully set forth herein.

114. Defendants falsely represented to Plaintiffs and the Class that their Contaminated Dog Foods:

(a) Are "Premium Dog Food" that is suitable for consumption by dogs;

(b) Provide "Clinical Nutrition;"

(c) Are Manufactured using "rigorous" quality and safety standards;

- (d) Are routinely subjected to numerous quality and safety checks, inspections, and audits throughout the manufacturing process;
- (e) Contain "only the best ingredients from the most trusted sources;"

- (f) Will "help enrich and lengthen the special relationships between people and their pets;" and
- (g) Are unadulterated as they are available to purchase for the consumption of pets.

115. Defendants intentionally and knowingly made these misrepresentations to induce Plaintiffs and the Class to purchase their Contaminated Dog Foods.

116. Defendants knew that their representations about the Contaminated Dog Foods were false in that the Contaminated Dog Foods contain excessive or low Vitamin D levels or other ingredients that do not conform to the products' labels, packaging, advertising, and statements. Defendants allowed their packaging, labels, advertisements, promotional materials, and websites to intentionally mislead consumers, such as Plaintiffs and the Class.

117. Plaintiffs and the Class did in fact rely on these misrepresentations and purchased the Contaminated Dog Foods to their detriment. Given the deceptive manner in which Defendants advertised, represented, and otherwise promoted the Contaminated Dog Foods, Plaintiffs and the Class's reliance on Defendants' misrepresentations was justifiable.

118. As a direct and proximate result of Defendants' conduct, Plaintiffs and the Class have suffered actual damages in that they purchased Contaminated Dog Foods that were worth less than the price they paid and that they would not have purchased at all had they known of the risk and/or presence of excessive or low Vitamin D levels or other

## Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 55 of 69

ingredients that do not conform to the products' labels, packaging, advertising, and statements.

119. Plaintiffs and the Class seek actual damages, injunctive and declaratory relief, attorneys' fees, costs, and any other just and proper relief available under the laws.

## **COUNT IV - Fraud by Omission**

120. Plaintiffs incorporate by reference and reallege each and every allegation contained above, as though fully set forth herein.

121. Defendants concealed from and failed to disclose to Plaintiffs and the Class that their Contaminated Dog Foods contained excessive or low Vitamin D levels or other ingredients that do not conform to the products' labels, packaging, advertising, and statements.

122. Defendants were under a duty to disclose to Plaintiffs and members of the Class the true quality, characteristics, ingredients and suitability of the Contaminated Dog Foods because: (1) Defendants were in a superior position to know the true state of facts about their products: (2) Defendants were in a superior position to know the actual ingredients, characteristics, and suitability of the Contaminated Dog Foods; and (3) Defendants knew that Plaintiffs and the Class could not reasonably have been expected to learn or discover that the Contaminated Dog Foods were misrepresented in the packaging, labels, advertising, and websites prior to purchasing the Contaminated Dog Foods.

## Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 56 of 69

123. The facts concealed or not disclosed by Defendants to Plaintiffs and the Class are material in that a reasonable consumer would have considered them important when deciding whether to purchase the Contaminated Dog Foods.

124. Plaintiffs and the Class justifiably relied on the Defendants' omissions to their detriment. The detriment is evident from the true quality, characteristics, and ingredients of the Contaminated Dog Foods, which is inferior when compared to how the Contaminated Dog Foods are advertised and represented by Defendants.

125. As a direct and proximate result of Defendants' conduct, Plaintiffs and the Class have suffered actual damages in that they purchased Contaminated Dog Foods that were worth less than the price they paid and that they would not have purchased at all had they known of the risk and/or presence of excessive or low Vitamin D levels or other ingredients that do not conform to the products' labels, packaging, advertising, and statements.

126. Plaintiffs and the Class seek actual damages, injunctive and declaratory relief, attorneys' fees, costs, and any other just and proper relief available under the laws.

## **COUNT V - Negligent Misrepresentation**

127. Plaintiffs incorporate by reference and reallege each and every allegation contained above, as though fully set forth herein.

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 57 of 69

128. Defendants had a duty to Plaintiffs and the Class to exercise reasonable and ordinary care in the formulation, testing, manufacture, marketing, distribution, and sale of the Contaminated Dog Foods.

129. Defendants breached their duty to Plaintiffs and the Class by formulating, testing, manufacturing, advertising, marketing, distributing, and selling products to Plaintiffs and the Class that do not have the ingredients, qualities, characteristics, and suitability for consumption as advertised by Defendants and by failing to promptly remove the Contaminated Dog Foods from the marketplace or to take other appropriate remedial action in a timely manner.

130. Defendants knew or should have known that the ingredients, qualities, and characteristics of the Contaminated Dog Foods were not as advertised or suitable for their intended use, consumption by dogs, and were otherwise not as warranted and represented by Defendants. Specifically, Defendants knew or should have known that the Contaminated Dog Foods contained excessive or low Vitamin D levels or other ingredients that do not conform to the products' labels, packaging, advertising, and statements.

131. As a direct and proximate result of Defendants' conduct, Plaintiffs and the Class have suffered actual damages in that they purchased Contaminated Dog Foods that were worth less than the price they paid and that they would not have purchased at all

had they known they contained excessive or low Vitamin D levels or other ingredients that do not conform to the products' labels, packaging, advertising, and statements.

132. Plaintiffs and the Class seek actual damages, injunctive and declaratory relief, attorneys' fees, costs, and any other just and proper relief available.

## **COUNT VI - Negligence**

133. Plaintiffs incorporate by reference and reallege each and every allegation contained above, as though fully set forth herein.

134. The Contaminated Dog Foods manufactured, distributed, marketed, and sold by Defendants are pet food within the meaning of California law.

135. Defendants' conduct is negligent *per se*. Defendants violated their statutory duty under California law, which provides prohibits:

- (a) The manufacture, sale, or delivery, holding or offering for sale of any pet food ingredient or processed pet food that is adulterated or misbranded;
- (b) The adulteration or misbranding of any pet food ingredient or processed pet food;
- (c) The dissemination of any false advertising; and/or
- (d) Use of any pet food ingredient that fails to conform to the standard of identity for the pet food ingredient.

136. The Contaminated Dog Foods are "adulterated" within the meaning of California because "it bears or contains any poisonous or deleterious substance that may render it injurious to health," "If it contains a pet food ingredient for which a standard of

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 59 of 69

identity has been established and the pet food ingredient fails to meet that standard" or "if damage or inferiority has been concealed in any manner."

137. Defendants failed to exercise due care when they sold the Contaminated Dog Foods to Plaintiffs and the Class Members based on: (1) their exclusive knowledge of the ingredients, content, and sourcing materials of the Contaminated Dog Foods; (2) their failure to properly audit and monitor any third-party suppliers as publicly represented to Plaintiffs and the Class; (3) allowing the inclusion of excessive or low Vitamin D in the Contaminated Dog Food; and (4) failing to recall the Contaminated Dog Foods in a timely manner.

138. Defendants' violations of these statutes were a substantial factor in the harm suffered by Plaintiffs and the Class, including purchasing a product with *de minimis* value.

139. By virtue of Defendants' negligence *per se*, Plaintiffs and the Class have been damaged in an amount to be proven at trial or alternatively, seek rescission and disgorgement under this Count.

## **COUNT VII - Unjust Enrichment**

140. Plaintiffs incorporate by reference and reallege each and every allegation contained above, as though fully set forth herein.

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 60 of 69

141. Substantial benefits have been conferred on Defendants by Plaintiffs and the Class through the purchase of the Contaminated Dog Foods. Defendants knowingly and willingly accepted and enjoyed these benefits.

142. Defendants either knew or should have known that the payments rendered by Plaintiffs were given and received with the expectation that the Contaminated Dog Foods would have the qualities, characteristics, ingredients, and suitability for consumption represented and warranted by Defendants. As such, it would be inequitable for Defendants to retain the benefit of the payments under these circumstances.

143. Defendants' acceptance and retention of these benefits under the circumstances alleged herein make it inequitable for Defendants to retain the benefits without payment of the value to Plaintiffs and the Class.

144. Plaintiffs and the Class are entitled to recover from Defendants all amounts wrongfully collected and improperly retained by Defendants, plus interest thereon.

145. Plaintiffs and the Class seek actual damages, injunctive and declaratory relief, attorneys' fees, costs, and any other just and proper relief available under the laws.

# COUNT VIII - Violations of California's Consumers Legal Remedies Act, Cal. Civ. Code §§ 1750, et seq.

146. Plaintiffs incorporate by reference and reallege each and every allegation contained above, as though fully set forth herein.

147. Plaintiffs and each proposed Class member are a "consumer," as that term is defined in section 1761(d) of the California Civil Code.

148. The Contaminated Dog Foods are "goods," as that term is defined in section 1761(a) of the California Civil Code.

149. Defendants are a "person" as that term is defined in section 1761(c) of the California Civil Code.

150. Plaintiffs and each proposed Class member's purchase of Defendants' products constituted a "transaction," as that term is defined in section 1761(e) of the

California Civil Code.

151. Defendants' conduct alleged herein violates the following provisions of the

CLRA:

- (a) California Civil Code section 1770(a)(5), by representing that the Contaminated Dog Foods are pure, quality, healthy and safe for consumption and by failing to disclose that the Contaminated Dog Foods were in fact adulterated;
- (b) California Civil Code section 1770(a)(7), by representing that the Contaminated Dog Foods were of a particular standard, quality, or grade, when they were in fact adulterated;
- (c) California Civil Code section 1770(a)(9), by advertising the Contaminated Dog Foods with the intent not to sell them as advertised; and
- (d) California Civil Code section 1770(a)(16), by representing that the Contaminated Dog Foods have been supplied in accordance with previous representations when they have not.
- 152. As a direct and proximate result of these violations, Plaintiffs and the Class

have been harmed, and that harm will continue unless Defendants are enjoined from

using the misleading marketing described herein in any manner in connection with the advertising and sale of the Contaminated Dog Foods.

153. Plaintiffs seeks an award of attorneys' fees pursuant to, inter alia, section 1780(e) of the California Civil Code and section 1021.5 of the California Code of Civil Procedure.

# COUNT IX - Violations of California False Advertising Law, Cal. Bus. & Prof. Code §§ 17500, et seq.

154. Plaintiffs incorporate by reference and reallege each and every allegation contained above, as though fully set forth herein.

155. California's False Advertising Law ("FAL") prohibits any statement in connection with the sale of goods "which is untrue or misleading." Cal. Bus. & Prof. Code § 17500.

156. As set forth herein, Defendants' claims that the Contaminated Dog Foods are healthy and safe for consumption are literally false and likely to deceive the public.

157. Defendants' claims that the Contaminated Dog Foods are pure, quality, healthy, and safe for consumption are untrue or misleading because these claims fail to disclose that the Contaminated Dog Foods were in fact adulterated.

158. Defendants' claim that the Contaminated Dog Foods are food that can be used to address specific medical and/or health issues because Defendants fail to disclose that the Contaminated Dog Foods were in fact adulterated with harmful and excessive or low levels of Vitamin D.

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 63 of 69

159. Defendants knew, or reasonably should have known, that the claims were untrue or misleading.

160. Defendants' conduct is ongoing and continuing, such that prospective injunctive relief is necessary, especially given Plaintiffs' desire to purchase these products in the future if they can be assured that the Contaminated Dog Foods are properly unadulterated pet food and meet the advertising claims.

161. Plaintiffs and members of the Class are entitled to injunctive and equitable relief, and restitution in the amount they spent on the Contaminated Dog Foods.

# COUNT X - Violations of the Unfair Competition Law, Cal. Bus. & Prof. Code §§ 17200, *et seq*.

162. Plaintiffs incorporate by reference and reallege each and every allegation contained above, as though fully set forth herein.

163. The Unfair Competition Law prohibits any "unlawful, unfair or fraudulent business act or practice." Cal. Bus. & Prof. Code § 17200.

## Fraudulent

164. Defendants' claims that the Contaminated Dog Foods are pure, quality, healthy, and safe for consumption are untrue or misleading because these claims fail to disclose that the Contaminated Dog Foods were in fact adulterated.

165. Defendants' claim that the Contaminated Dog Foods are proper food to address specific medical and/or health issues because Defendants fail to disclose that the Contaminated Dog Foods were in fact adulterated with harmful and excessive or low

## Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 64 of 69

levels of Vitamin D. As alleged herein, Defendants sold and advertised the adulterated Contaminated Dog Foods with false or misleading claims, such that Defendants' actions as alleged herein violate at least the following laws:

) the CLRA, Cal. Civ. Code §§ 1750, et seq.; and

) the FAL, Cal. Bus. & Prof. Code §§ 17500, et seq.

## Unfair

166. Defendants' conduct with respect to the labeling, advertising, marketing, and sale of the Contaminated Dog Foods is unfair because Defendants' conduct was immoral, unethical, unscrupulous, or substantially injurious to consumers and the utility of its conduct, if any, does not outweigh the gravity of the harm to its victims.

167. Defendants' conduct with respect to the labeling, advertising, marketing, and sale of the Contaminated Dog Foods is also unfair because it violates public policy as declared by specific constitutional, statutory, or regulatory provisions, including, but not limited to, the FAL and the CLRA.

168. Defendants' conduct with respect to the labeling, advertising, marketing, and sale of the Contaminated Dog Foods is also unfair because the consumer injury is substantial, not outweighed by benefits to consumers or competition, and not one that consumers themselves can reasonably avoid.

169. In accordance with § 17203 of the California Business & Professions Code, Plaintiffs seeks an order enjoining Defendants from continuing to conduct business

#### Case 2:19-cv-02149-CM-TJJ Document 17 Filed 05/29/19 Page 65 of 69

through fraudulent or unlawful acts and practices and to commence a corrective advertising campaign. Defendants' conduct is ongoing and continuing, such that prospective injunctive relief is necessary.

170. On behalf of himself and the Class, Plaintiffs also seeks an order for the restitution of all monies from the sale the Contaminated Dog Foods, which were unjustly acquired through acts of fraudulent, unfair, or unlawful competition.

## **PRAYER FOR RELIEF**

WHEREFORE, Plaintiffs, individually and on behalf of all others similarly situated, pray for judgment against the Defendants as to each and every count, including:

A. An order declaring this action to be a proper class action, appointing Plaintiffs and his counsel to represent the Class, and requiring Defendants to bear the costs of class notice;

B. An order enjoining Defendants from selling the Contaminated Dog Foods until the levels of Vitamin D or other ingredients that do not conform to the products' labels, packaging, advertising, and statements are removed or full disclosure of the risk and/or presence of such appear on all labels, packaging, and advertising;

C. An order enjoining Defendants from selling the Contaminated Dog Foods in any manner suggesting or implying that they are suitable for consumption by dogs, healthy, nutritious, premium, clinically approved, subject to strict quality control measures, and/or unadulterated;

D. An order requiring Defendants to provide a \$500 voucher to each member of the Class;

E. An order requiring Defendants to recall all products that have deficient Vitamin D;

F. An order requiring Defendants to implement quality control procedures that ensure the products are not manufactured with excessive or deficient Vitamin D;

G. An order requiring Defendants to engage in a corrective advertising campaign and engage in any further necessary affirmative injunctive relief, such as recalling existing products;

H. An order awarding declaratory relief, and any further retrospective or prospective injunctive relief permitted by law or equity, including enjoining Defendants from continuing the unlawful practices alleged herein, and injunctive relief to remedy Defendants' past conduct;

I. An order requiring Defendants to pay restitution to restore all funds acquired by means of any act or practice declared by this Court to be an unlawful, unfair, or fraudulent business act or practice, untrue or misleading advertising, or a violation of California law, plus pre- and post-judgment interest thereon;

J. An order requiring Defendants to disgorge or return all monies, revenues, and profits obtained by means of any wrongful or unlawful act or practice;

K. An order requiring Defendants to pay all actual and statutory damages permitted under the counts alleged herein;

L. An order awarding attorneys' fees and costs, including the costs of pre-suit investigation, to Plaintiffs and the Class; and

M. An order providing for all other such equitable relief as may be just and proper.

# Jury Demand

Plaintiffs hereby demand a trial by jury on all issues so triable.

Dated: May 29, 2019

Respectfully Submitted,

# PAUL LLP

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# **Attorneys for Plaintiffs**

# **CERTIFICATE OF SERVICE**

I hereby certify that on May 29, 2019, I electronically filed the foregoing with the

clerk of the court by using the CM/ECF system which will send a notice of electronic filing

to the following counsel of record:

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